



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Donald S. Brown, et al.  
Serial No.: 09/800,168  
Filing Date: March 5, 2001  
Title: COMPUTER SECURITY SYSTEM

**RECEIVED**  
JUL 25 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Information Disclosure Statement with four references are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 18th day of July, 2001 and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
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PATENT  
09/800,168



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Honorable Assistant Commissioner  
for Patents  
Washington, DC 20231

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

Applicants respectfully submit that the claims of this application are patentably distinguishable from these references.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.



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Date: 7/18/01

PTO-1449

Application No.

Applicant(s)

09/800,168

Donald S. Brown, et al.

Docket Number

Group Art Unit

Filing Date

020431.0971

March 5, 2001



Information Disclosure Citation  
In an Application

## U.S. PATENT DOCUMENTS

	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
A						RECEIVED
B						JUL 25 2001
C						Technology Center 2100
D						
E						
F						
G						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
H							
I							
J							
K							
L							

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
M	R. Sant'Angelo, "Windows NT 4® Administrator's Survival Guide," Copyright © 1997 by Sams Publishing, Index, Table of Contents (3 pages), pps. 130, 191, 193-209, 213-218, 253-260, 279-281, 407, 417-418, 422, 503, 528-532, <a href="http://emedial48.netlibrary.com/api-bin/...234.nfo&amp;record={1625}&amp;softpage=Document">http://emedial48.netlibrary.com/api-bin/...234.nfo&amp;record={1625}&amp;softpage=Document</a>	May 17, 2001
N	G.W. Kadnier, "Windows NT 4: The Complete Reference," Osborne/McGraw-Hill © 1997, Table of Contents, Chapter 16: NT 4 Security, 5 pgs., NT 4 Security, Bare to the Bone 7 pgs., NT 4 Security, The NT Miranda—"You Have the Right To...," 1 pg., NT 4 Security, NT C2-Level Security, 1 pg., NT 4 Security, Controlling Security and Users: The NT User Manager, pps. 1-6, 8 <a href="http://library.books2x7.com/toc.asp?bkid">http://library.books2x7.com/toc.asp?bkid</a>	May 18, 2001
O	B. Sosinsky, "Windows NT 4 Answers! Certified Tech Support," Osborne/McGraw-Hill © 1998, Synopsis and Table of Contents, Back Cover, NT 4 Answers! Certified Tech Support, Working With The Security Model @ a Glance, 1 pg., NT 4 Answers! Certified Tech Support, The Security Database, 1 pg., NT 4 Answers! Certified Tech Support, Users, Groups, and Domains, 6 pgs., NT 4 Answers! Certified Tech Support, User Profiles, 4 pgs., System Policies, pgs. 1, 1-4 <a href="http://library.books2x7.com.toc.asp?bkid=406">http://library.books2x7.com.toc.asp?bkid=406</a>	May 17, 2001
P	International Searching Authority, Notification of the Transmittal of The International Search Report, 7 pgs.	June 18, 2001

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

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